

FREQUENTLY ASKED QUESTIONS

1. What is Portfolio Management Service (PMS)?

PMS is a professional financial service where a Securities and Exchange Board of India (SEBI) licensed portfolio manager manages your investments in equities, fixed income, structured products, and other securities on your behalf to meet your specific financial goals.

2. What types of PMS services does Green Lantern Capital LLP offer?

Green Lantern offers Discretionary PMS services (DPMS), where the choice as well as the timings of the investment decisions shall be solely with the Portfolio Manager. The portfolio manager takes investment decisions on behalf of the client.

3. What investment strategies are available under Green Lantern PMS?

We offer two strategies:

- GLC Growth Fund which focuses on small- and mid-cap companies
- GLAlpha Fund which focuses on mid- and large-cap companies

4. Do you offer Advisory Services?

No, currently we do not offer any Advisory Services.

5. How do I open a PMS account with Green Lantern Capital LLP?

To initiate the process, please email us at investor@glcapital.in and our team will guide you through each step. A detailed checklist based on the investor category will be shared. The investor must fulfill all mandatory KYC requirements as per regulatory guidelines by submitting documents such as Identity Proof, Address Proof, and other Officially Valid Documents. You will also be required to sign the Demat Account Opening Forms, PMS Agreement, and other relevant declarations. The onboarding process will be completed after conducting standard internal Anti-Money Laundering checks.

6. Is it necessary to open a new demat account?

Yes. As per PMS regulations, a separate demat account must be opened exclusively for the PMS in the investor's name. This account is operated under a Power of Attorney granted to Green Lantern Capital LLP, enabling us to execute transactions on your behalf. Existing personal demat accounts cannot be used for PMS investments to ensure regulatory compliance and operational transparency.

7. Can Non-Resident Indians (NRI) invest in Green Lantern PMS?

Yes, NRIs are eligible to invest in Green Lantern Capital's PMS. The minimum investment required is INR 5 Crores. We provide complete assistance with onboarding, including NRE/NRO account setup, demat account opening, and adherence to all regulatory requirements. However, please note that we are currently not accepting investments from residents of the USA and Canada due to regulatory restrictions.

8. Does Green Lantern open bank accounts for clients?

- Residents (Individuals & Non-Individuals): Transactions are facilitated through a pooled bank account structure, where funds are accurately allocated and tracked using each client's Unique Client Code, in accordance with regulatory guidelines.
- NRIs: We assist in opening dedicated NRE or NRO savings accounts, along with Portfolio Investment Scheme accounts, as required under RBI and SEBI regulations. All trading transactions are routed through these designated accounts to ensure full compliance.



9. What is the account opening turnaround time (TAT)?

The estimated TAT for PMS account opening at Green Lantern Capital LLP is as follows:

Category	TAT
Resident Individuals	T + 6 working days
Resident Non-Individuals	T + 8 working days
Non-Resident Individuals	T + 25 working days

The TAT begins from the date we receive all complete and compliant documents, duly signed, which are required to initiate the onboarding process.

10. What is the minimum investment required?

The minimum investment amount is at the time of onboarding, as per our current internal management policy, is as follows:

- Resident Individuals: INR 50 Lakhs
- Resident Non-Individuals: INR 1 Crore
- Non Resident Individuals: INR 5 Crores

11. How should I fund my PMS account?

The investment amount must be funded in a lump sum, in one go, via bank transfer, cheque deposit, or RTGS. Tranche-based funding is not accepted. In case the account is not fully funded (i.e., funds received are less than the committed amount), the amount will be returned within 3 business days.

Clients are required to share the transaction reference number or valid proof of transfer. Funds must be transferred only from the bank account registered at the time of account opening. If the transfer is being made from a different bank account, the client must first provide valid bank proof for updation in our records prior to initiating the transfer. All above communication to be sent to glc.operations@glcapital.in.

12. Can I transfer stocks instead of cash?

No. At present, we are only accepting cash funding.

13. Do you offer Systematic Transfer Plan (STP)?

No. STP is not currently available in our PMS offering.

14. Can the investor specify stocks/ sectors that I want or don't want to hold?

At the time of portfolio initiation, investors may provide a list of securities, sectors, or instruments that they wish to exclude from their portfolio due to reasons such as conflicts of interest, religious beliefs, or personal preferences. Such exclusions will be duly noted and appropriately considered in the management of the portfolio, in alignment with the client's instructions

15. How is portfolio performance measured?

Performance is benchmarked against the BSE 500 Total Return index, and returns are reported using Time-Weighted Rate of Return, net of fees and charges as prescribed by SEBI.

16. What is the difference between Time-Weighted Rate of Return (TWRR) and Extended Internal Rate of Return (XIRR)?

TWRR measures the performance of the portfolio manager by eliminating the impact of cash inflows and outflows made by the investor. It calculates the return based purely on the portfolio's investment performance over time. This makes it ideal for comparing how well a manager has performed, regardless of when the investor added or withdrew funds.

XIRR reflects the actual return earned by the investor, taking into account both the amount and the timing of all capital contributions and withdrawals. It provides a personalized return figure and shows the real experience of the investor in terms of money invested and money earned.

17. What fees and charges are applicable under the PMS?

Green Lantern Capital follows a hybrid fee structure comprising a Fixed Management Fee of 1.5% p.a., a Performance Fee of 12% on returns above a 10% compounded Hurdle Rate, and Other Charges of 0.15% p.a. An Exit Load of 3% in the first year and 2% in the second year is applicable.

18. How are PMS fees calculated?

- Fixed Management Fee: Calculated on the Average Value of the Portfolio.
- Performance Fee: Charged on returns generated above a 10% hurdle rate, subject to a High-Water Mark.
- Other Charges: Calculated on the Average Value of the Portfolio.

19. What is the frequency of fees charged?

Management Fees and Other Charges are levied quarterly. Performance Fees, if applicable, are charged annually on the portfolio anniversary date.

20. How is the client taxed with respect to the PMS account?

Please refer to our Disclosure Document for detailed information on tax treatment applicable to PMS investments. We also recommend consulting your tax advisor or Chartered Accountant for personalized guidance based on your residency and tax profile.

21. What is the minimum top-up amount?

The minimum top-up amount is INR 5 lakhs.

22. How do I top-up my PMS account?

To top-up your PMS account, transfer funds from your registered bank account to the PMS-linked bank account shared at the time of onboarding. Once the transfer is completed, please email the transaction reference number or valid proof of transfer to glc.operations@glcapital.in.

Funds must be transferred only from the bank account registered at the time of account opening. If the transfer is being made from a different bank account, valid bank proof must be shared in advance for updation in our records prior to initiating the transfer.

23. What is the minimum redemption amount?

After any partial redemption, the portfolio must maintain a minimum balance of INR 50 lakhs, in line with SEBI regulations. We allow a minimum redemption of INR 5 lakhs to ensure operational efficiency and portfolio integrity.

24. Is there any exit load applicable?

Yes. All redemptions, whether full or partial, will be subject to an exit load as defined in the fee structure detailed in your PMS Agreement.

25. How do I access my PMS account?

Once your PMS account is activated i.e., after the full minimum commitment amount has been transferred to the designated PMS bank account, you will receive your web portal login credentials within 2 working days. The email will include step-by-step instructions on how to access and navigate your account. Please note:

- Portfolio holdings and transaction details will reflect data as of 15 days prior.
- Portfolio value and performance metrics will be updated as of the previous business day.

26. What is the frequency at which reports are shared by PMS to clients?

As per SEBI requirements, PMS providers are required to share reports on a quarterly basis. However, for added convenience to our investors, we provide:

- Monthly and Quarterly PMS Investor Report in SEBI prescribed format



- Unaudited Year End financials in April/ May.
- Audited Year End financials once the audit is completed

Additionally, reports may be shared from time to time for tax filing or other regulatory requirements. Other than that, as stated above, clients have continuous access to the web portal, from which they can download any other reports they may require.

27. What are Green Lantern Capital's business hours?

Our office is open from 9:30 AM to 6:00 PM, Monday through Friday. We remain closed on Saturdays, Sundays, and all NSE/BSE trading holidays. For any queries received outside business hours, our team will respond on the next working day.

